**UNITED STATES** SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549



FORM D

OTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D. **SECTION 4(6), AND/OR** 

UNIFORM LIMITED OFFERING EXEMPT

OMB Number: 3235-0076 **Expires: May 31, 2002** Estimated average burden hours per form.....1

SEC USE ONLY					
Prefix	Serial				
DATE RECEIVED					

Name of Offering ( check if this is a CROUPIER PRIVÉ PRIVATE EQUIT	-	ed, and indicate change.)			,	
Filing Under (Check box(es) that apply	): Rule 504	☐ Rule 505	<b>⊠</b> Rule 506	Section 4(6)	☐ ULOE	
Type of Filing:		New Filing		☐ Amendment		
	A. BASI	C IDENTIFICATION DA	TA			
1. Enter the information requested al	oout the issuer					
Name of Issuer ( check if this is an	amendment and name has changed	, and indicate change.)	-	_		
CROUPIER PRIVÉ PRIVATE ÉQUIT	Y FUND, LP					
Address of Executive Offices	(Number and Str	eet, City, State, Zip Code)	Telephone Numb	er (Including Area Coo	le)	
470 Park Avenue South, 4th Floor, New	York, New York 10016		646-495-7333			
Address of Principal Business Operatio (if different from Executive Offices)	ns (Number and Street, City, State	, Zip Code)	Telephone Number (Including Area Code)  PROCESS			
Brief Description of Business INVESTMENTS				EO	CT 17 2007	
Type of Business Organization		<del>.</del>			HOM>	
☐ corporation	🛚 limited partnership, alread	y formed		other (please spec	INIANICIA	
☐ business trust	☐ limited partnership, to be	formed		<u>ن</u>	Haviao	
Actual or Estimated Date of Incorporat	ion or Organization:		<u>'ear</u> 2007		☐ Estimated	
Jurisdiction of Incorporation or Organiz	•	ostal Service abbreviation for other foreign jurisdiction)	or State:	_	DE	

#### GENERAL INSTRUCTIONS

#### Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When to File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

## ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

> Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### A. BASIC IDENTIFICATION DATA Enter the information requested for the following: Each promoter of the issuer, if the issuer has been organized within the past five years; Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer; Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and Each general and managing partner of partnership issuers. Executive Officer Director / Trustee General Partner ☐ Beneficial Owner Check Promoter Box(es) that Officer of Investment Apply: Adviser Full Name (Last name first, if individual) CROUPIER PRIVÉ PRIVATE EQUITY PARTNERS, LLC Business or Residence Address (Number and Street, City, State, Zip Code) 470 Park Avenue South, 4th Floor, New York, New York 10016 Beneficial Owner Member of Director General Partner Check ☐ Promoter Box(es) that Officer of Investment General Partner Adviser Apply: Full Name (Last name first, if individual) HORIZON ASSET MANAGEMENT, INC. Business or Residence Address (Number and Street, City, State, Zip Code) 470 Park Avenue South, 4th Floor, New York, New York 10016 General Partner Check Promoter Beneficial Owner Member of □ Director of Box(es) that ☐ Officer of Investment General Partner Managing Member Apply: Adviser Full Name (Last name first, if individual) UOB GLOBAL CAPITAL LLC Business or Residence Address (Number and Street, City, State, Zip Code) 592 Fifth Avenue, Suite 602, New York, New York 10036 Check Promoter ☐ Beneficial Owner Executive Officer of □ Director General and/or Box(es) that Officer of Investment Managing Member Managing Director Adviser Apply: Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) Beneficial Owner Executive Officer of Director of General and/or Check Promoter Box(es) that Officer of Investment Managing Member Managing Member Managing Director Apply: Adviser Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) Check Promoter Beneficial Owner X Executive Officer of Director of General and/or Box(es) that Officer of Investment Managing Member Managing Member Managing Partner Adviser Apply: Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) Executive Officer of Check Promoter Beneficial Owner Director of General and/or Officer of Investment Managing Partner Box(es) that Managing Member Managing Member Apply: Adviser Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) Director of Beneficial Owner Executive Officer of Check Promoter General and/or Box(es) that Officer of Investment Managing Member Managing Member Managing Partner Apply: Adviser Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code)

	<u>`,                                      </u>				В	. INFORM	IATION AB	OUT OFFE	RING				
1.	. Has the issuer sold, or does the issuer intend to sell, to non-accredited investors in this offering?								Yes □ No 🏻				
	Answer also in Appendix, Column 2, if filing under ULOE.												
2.											,000 w/the		
										discretion of the General Partner to accept less.			
3.	Does the offering permit joint ownership of a single unit?										0		
4.	Enter the information requested for each person who has been or will be paid or given, directly or indirectly, any commission or similar remuneration for solicitation of purchasers in connection with sales of securities in the offering. If a person to be listed is an associated person or agent of a broker or dealer registered with the SEC and/or with a state or states, list the name of the broker or dealer. If more than five (5) persons to be listed are associated persons of such a												
	broker or	dealer, you	may set forth	the informat								•	
Full	Name (La	st name firs	t, if individual	)									
Bus	iness or Re	sidence Ad	dress (Number	and Street,	City, State,	Zip Code)	•						
Nan	ne of Assoc	ciated Broke	er or Dealer							<del> </del>			· <u></u>
Stat	es in Whic	h Person Li	sted Has Solic	ited or Inten	ds to Solici	t Purchasers	<u>.</u>						
•			ck individual										All States
[AL	•	[AK]	[AZ]	[AR]	[CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[GA]	(HI)	[ID]
[IL]		[IN]	[IA]	[KS]	[KY]	[LA]	[ME]	[MD]	[MA]	[MI]	[MN]	[MS]	[MO]
[M]	-	[NE]	[NV]	[NH]	[[NJ]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	(PA)
[RI]		[SC]	[SD]	[TN]	[TX]	[UT]	[VT]	[VA]	[VA]	[WV]	[WI]	[WY]	[PR]
rull	Name (La:	st name tirs	t, if individual	,									
Bus	iness or Re	sidence Ad	dress (Number	and Street,	City, State,	Zip Code)							
			`	ŕ	• • • •	,							
Nan	ne of Assoc	iated Broke	er or Dealer						<del></del>				
Cana	! 11/1-!-!	h Danson I :	sted Has Solici		da da Caliair	. D. mahaaana							
			eck individual !							•••••			All States
[AL	.]	[AK]	[AZ]	[AR]	(CA)	[CO]	[CT]	(DE)	[DC]	[FL]	[GA]	[HI]	[ID]
(IL)		[IN]	[IA]	[KS]	[KY]	( <i>.</i> [LA]	[ME]	[MD]	[MA]	[MI]	[MN]	[MS]	(MO)
[M]		(NE)	[NV]	[NH]	[NJ]	[NM]	[NY]	[NC]	[ND]	(OH)	[OK]	(OR)	[PA]
(RI)		[SC]	[SD]	[TN]	[TX]	[UT]	[VT]	[VA]	[VA]	[WV]	[WI]	[WY]	(PR)
			t, if individual		. ,			-					
Bus	Business or Residence Address (Number and Street, City, State, Zip Code)												
Nan	ne of Assoc	iated Broke	er or Dealer									.=	
Stat	States in Which Person Listed Has Solicited or Intends to Solicit Purchasers												
(Check "All States" or check individual States)													
[AL		[AK]	[AZ]	[AR]	[CA]	[CO]	(CT)	[DE]	[DC]	[FL]	[GA]	[HI]	 [ID]
IL]		[IN]	[lA]	[KS]	[KY]	[LA]	(ME)	[MD]	[MA]	[MI]	[MN]	[MS]	[MO]
[MT		[NE]	[NV]	( . [NH]	[NJ]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[PA]
(RI)	_	[SC]	(SD)	[TN]	[TX]	[UT]	[VT]	[VA]	[VA]	[WV]	[WI]	[WY]	[PR]

	C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND	USE OF PROCEEDS	•
1.	Enter the aggregate offering price of securities included in this offering and the total amount already sold. transaction is an exchange offering, check this box \( \precedef \) and indicate in the columns below the amounts of the columns below the	Enter "0" if answer is "no he securities offered for ex	one" or "zero." If the change and already exchange
	Type of Security	Aggregate	Amount Afready
	<i></i>	Offering Price	Sold
	Debt	\$0	\$
	Equity	\$0	\$0
	☐ Common ☐ Preferred		•
	Convertible Securities (including warrants)	\$0	\$0
	Partnership Interests	\$0	\$
	Other (Specify))	\$ Indefinite	\$ 3,489,389
	Total	\$ Indefinite	\$ 3,489,389
	Answer also in Appendix, Column 3, if filing under ULOE.		
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."		
		Number	Aggregate
		Investors	Dollar Amount
			of Purchases
	Accredited Investors	19	<b>\$</b> 3,489,389
	Non-accredited Investors	0	\$0
	Total (for filings under Rule 504 only)		\$0
	Answer also in Appendix, Column 4, if filing under ULOE.		
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C - Question 1.		
		Type of	Dollar Amount
		Security	Sold
	Type of Offering		
	Rule 505		\$
	Regulation A		\$
	Rule 504		\$
	Total		\$
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.		
	Transfer Agent's Fees		\$0
	Printing and Engraving Costs	⋈	\$1,000
	Legal Fees	⊠	\$10,000
	Accounting Fees	⊠	\$5,000
	Engineering Fees		\$0
	Sales Commissions (specify finders' fees separately)		\$0
	Other Expenses (Identify)		<b>\$</b> 0

X

\$\_16,000

		<u>.</u> .
C. OFFERING PRICE, NUMBER OF	INVESTORS, EXPENSES AND USE OF P	ROCEEDS
<ul> <li>Enter the difference between the aggregate offering price given in response to Part C - Question 4.a. This difference is the "adjuste</li> </ul>		
5. Indicate below the amount of the adjusted gross proceeds to the issuer use If the amount for any purpose is not known, furnish an estimate and che payments listed must equal the adjusted gross proceeds to the issuer set	of the	
payments to the street of an analysis Brook process to the issues to	to Officers, Payment To	
	-	& Affiliates Others
Salaries and fees		o <b> s</b>
Purchase of real estate	<u> </u>	0
Purchase, rental or leasing and installation of machinery and equipment	ss	0
Construction or leasing of plant buildings and facilities		o
Acquisition of other businesses (including the value of securities involved in		
in exchange for the assets or securities of another issuer pursuant to a merger		<u>o                                      </u>
Repayment of indebtedness		<u>0                                    </u>
Working capital	🖾 \$ <u>ln</u>	definite
Other (specify):		<u>0                                    </u>
Column Totals	🖾 \$ <u>ln</u>	definite
Total Payments Listed (column totals added)	.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Indefinite     Indefinite
D. FEI	DERAL SIGNATURE	
The issuer had duly caused this notice to be signed by the undersigned duly a an undertaking by the issuer to furnish to the U.S. Securities and Exchange C non-accredited investor pursuant to paragraph (b)(2) of Rule 502.		
Issuer (Print or Type) CROUPIER PRIVÉ PRIVATE EQUITY FUND, LP	Signature Atle	Date September 25, 2007
Name of Signer (Print or Type) MURRAY STAHL	THE of Signer (Print or Type) CHAIRMAN OF MEMBER OF CROUPIE	R PRIVÉ PRIVATE EQUITY PARTNERS,

# ATTENTION

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)

	E. STATE SIGNATURE							
ŧ.	Is any party described in 17 CFR 230.252 presently subject to any of the disqualification provisions of such rule?						No ⊠	
	See Appendix, Column 5,	or state response	e.					
2.	The undersigned issuer hereby undertakes to furnish to the state administrator of any state in which the notice is filed, a notice on Form D (17 CFR 239.500) at such times as required by state law.							
3.	The undersigned issuer hereby undertakes to furnish to any state administrators, upon written request, information furnished by the issuer to offerees.							
4.	The undersigned issuer represents that the issuer is familiar with the conditions that must be satisfied to be entitled to the Uniform limited Offering Exemption (ULOE) of the state in which this notice is filed and understands that the issuer claiming the availability of this exemption has the burden of establishing that these conditions have been satisfied.							
The issuer has read this notification and knows the contents to be true and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person.								
Issu	uer (Print or Type) Signate	ire 1.		40 -	_	ate		
CR	OUPIER PRIVÉ PRIVATE EQUITY FUND, LP	M-1		the	> s	eptember <u>25</u>	<u>5</u> , 2007	
Naı		rint or Type)	<u> </u>		,			
ΜÜ		MAN OF MEM NERS, LLC, GE		CROUPIER PRIV ARTNER	/E PRIVATE	EQUITY		

### Instruction:

Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

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